

LASALLE ST. SECURITIES, L.L.C.
940 N. INDUSTRIAL DR.

ELMHURST, IL 60126-1131

NOTE: BROKER-DEALERS ARE REQUIRED TO DISCLOSE ANY MATERIAL ARRANGEMENTS WITH THE VENUES LISTED IN EACH SECTION BELOW, INCLUDING, BUT NOT LIMITED TO, ANY INTERNALIZATION

OR PAYMENT FOR ORDER FLOW ARRANGEMENTS

SEC RULE 606, QUARTERLY REPORT FOR THE QUARTER ENDING DECEMBER 31,2017

SECURITIES LISTED ON THE NEW YORK STOCK EXCHANGE

SUMMARY STATISTICS :

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	100.00%
MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	74.69%
LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	21.23%

OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	4.08%
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VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS :

1. VIRTU Americas LLC	36.98%
2. Citadel Securities LLC	34.20%
3. National Financial Services LLC	7.11%
4. Two Sigma Securities, LLC	6.24%
5. Cboe EDGX U.S. Equities Exchange	2.66%
6. G1 Execution Services, LLC	2.65%
7. BATS BYX Exchange	2.24%
8. Instinet LLC	1.63%
9. NASDAQ Execution Services, LLC	1.49%
10. UBS Securities, LLC	1.21%

INFORMATION CONCERNING SIGNIFICANT VENUES :

1. VIRTU Americas LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	34.65%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	48.73%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	18.40%
2. Citadel Securities LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	38.24%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	19.86%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	34.80%
3. National Financial Services LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	8.58%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	1.77%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	8.00%
4. Two Sigma Securities, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	7.94%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	1.46%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

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5. Cboe EDGX U.S. Equities Exchange	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.00%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	12.24%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	1.60%
6. G1 Execution Services, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	3.28%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.92%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
7. BATS BYX Exchange	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	2.84%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.31%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	1.20%
8. Instinet LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	2.03%

LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.38%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.80%
9. NASDAQ Execution Services, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.00%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	6.16%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	4.40%
10. UBS Securities, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.44%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	3.16%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	5.20%

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SEC RULE 606, QUARTERLY REPORT FOR THE QUARTER ENDING DECEMBER 31,2017

SECURITIES LISTED ON THE NASDAQ STOCK MARKET

SUMMARY STATISTICS :

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	100.00%
MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	71.56%
LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	24.43%

OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	4.01%
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VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS :

1. Citadel Securities LLC	34.67%
2. VIRTU Americas LLC	33.93%
3. Cboe EDGX U.S. Equities Exchange	6.79%
4. National Financial Services LLC	6.54%
5. Two Sigma Securities, LLC	5.89%
6. NASDAQ Execution Services, LLC	3.08%
7. G1 Execution Services, LLC	2.78%
8. Instinet LLC	1.42%
9. BATS BYX Exchange	1.39%
10. UBS Securities, LLC	1.26%

INFORMATION CONCERNING SIGNIFICANT VENUES :

1. Citadel Securities LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	38.15%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	22.35%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	47.69%
2. VIRTU Americas LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	36.68%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	28.91%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	15.38%
3. Cboe EDGX U.S. Equities Exchange	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.00%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	27.65%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.77%
4. National Financial Services LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	8.49%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	1.14%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	4.62%

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5. Two Sigma Securities, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	7.72%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	1.52%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
6. NASDAQ Execution Services, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.00%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	12.50%

OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.77%
7. G1 Execution Services, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	3.53%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.76%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	1.54%
8. Instinet LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	1.72%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.38%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	2.31%
9. BATS BYX Exchange	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	1.72%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.63%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
10. UBS Securities, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.60%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	2.53%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	5.38%

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SEC RULE 606, QUARTERLY REPORT FOR THE QUARTER ENDING DECEMBER 31,2017

SECURITIES LISTED ON THE NYSE MKT LLC OR REGIONAL EXCHANGES
SUMMARY STATISTICS :

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	100.00%
MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	83.79%
LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	14.04%

OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS 2.18%

VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS :

1. VIRTU Americas LLC	39.07%
2. Citadel Securities LLC	37.05%
3. Two Sigma Securities, LLC	7.34%
4. G1 Execution Services, LLC	3.67%
5. BATS BYX Exchange	3.24%
6. National Financial Services LLC	2.39%
7. UBS Securities, LLC	1.91%
8. Instinet LLC	1.81%
9. BNY Mellon Capital Markets LLC	1.01%
10. NASDAQ Execution Services, LLC	0.80%

INFORMATION CONCERNING SIGNIFICANT VENUES :

1. VIRTU Americas LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	38.20%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	48.48%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	12.20%
2. Citadel Securities LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	38.39%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	23.86%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	70.73%
3. Two Sigma Securities, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	7.99%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	4.55%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
4. G1 Execution Services, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	4.19%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	1.14%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

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5. BATS BYX Exchange	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	3.81%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.38%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
6. National Financial Services LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	2.41%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	2.65%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
7. UBS Securities, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	1.21%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	5.30%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	7.32%
8. Instinet LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	2.09%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.38%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
9. BNY Mellon Capital Markets LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	1.21%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
10. NASDAQ Execution Services, LLC	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.00%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	5.68%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

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SEC RULE 606, QUARTERLY REPORT FOR THE QUARTER ENDING DECEMBER 31,2017

EXCHANGE - LISTED OPTIONS

SUMMARY STATISTICS :

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	100.00%
MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	30.48%
LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	67.45%

OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS 2.07%

VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS :

1. NASDAQ PHLX Options	22.34%
2. Cboe BZX Options Exchange, Inc.	16.41%
3. Cboe Options Exchange	14.90%
4. NASDAQ Options Market	12.83%
5. ISE Gemini Options Exchange	8.41%
6. NYSE Arca Options	6.34%
7. BOX Option Exchange	4.97%
8. The MIAX Options Exchange	4.55%
9. NYSE Amex Options	3.86%
10. Cboe C2 Options Exchange	2.21%

INFORMATION CONCERNING SIGNIFICANT VENUES :

1. NASDAQ PHLX Options	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	44.80%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	11.86%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	33.33%
2. Cboe BZX Options Exchange, Inc.	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.45%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	24.13%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%
3. Cboe Options Exchange	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	25.79%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	8.59%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	60.00%
4. NASDAQ Options Market	
TYPES OF ORDERS ROUTED TO VENUE :	
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.45%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	18.81%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

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5. ISE Gemini Options Exchange
TYPES OF ORDERS ROUTED TO VENUE :
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS 0.45%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS 12.27%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS 0.00%

6. NYSE Arca Options
TYPES OF ORDERS ROUTED TO VENUE :
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS 0.00%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS 9.41%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS 0.00%

7. BOX Option Exchange
TYPES OF ORDERS ROUTED TO VENUE :
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS 7.69%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS 3.89%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS 0.00%

8. The MIAX Options Exchange
TYPES OF ORDERS ROUTED TO VENUE :
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS 5.43%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS 4.29%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS 0.00%

9. NYSE Amex Options
TYPES OF ORDERS ROUTED TO VENUE :
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS 10.86%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS 0.82%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS 0.00%

10. Cboe C2 Options Exchange
TYPES OF ORDERS ROUTED TO VENUE :
MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS 0.00%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS 3.07%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS 6.67%

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