

Frequently Asked Questions

- 1. What should I expect from our relationship?**
 - a. Our process is simple, but fairly broad to help each client through the maze of financial planning:
 1. Identify and prioritize your goals
 2. Analyze and evaluation your existing strategy
 3. Prioritize and develop a plan of action
 4. Activate and implement your plan
 5. Monitor and adjust for your success
- 2. What information do you need from me?**
 - a. We send a document check list that gives us a good start to a robust discussion. These documents will include a summary of any financial investments, legal documents like a will or trust, your last tax return, and any insurance policies you may have.
- 3. How often do we meet**
 - a. We meet with our clients on a quarterly basis or whenever there is a life event we need to take into consideration
- 4. What services do you provide?**
 - a. We are the project manager in your financial life that coordinates all professionals including but not limited to Legal, Tax preparation, investments, and insurance to ensure that all elements of your financial life and coordinated. We work with your
- 5. Are your recommendations truly in my best interest?**
 - a. We take very seriously our Fiduciary duty to always act in the client's best interest (vs. just trying to sell you a product). Our firm is built on a foundation of placing the client's interest before any thoughts of our own compensation. We recommend for our clients investment strategies that help them accomplish their goals, then validate those recommendations with their other financial professionals to ensure not just a broad evaluation, but a coordinated effort from all aspects of the client's financial life.
- 6. Will your recommendations be comprehensive in nature? (In other words...do I need to find another advisor for advice in other areas?)**
 - a. For our clients, we serve as a single point of contact for all of their financial needs. This includes coordinating every aspect of their financial life. Each of our clients has a financial plan and an ongoing Financial Action Checklist detailing all actions needed to implement their financial plan. We then coordinate action items with accounts, attorneys and other financial professionals.
- 7. How much do you charge?**
 - a. As detailed in our client agreements, each quarter we deduct a fee equal to 0.25% (one quarter of one percent) directly from the accounts under our management. This deduction is detailed in the quarterly statement we provide to every client. To put this fee in perspective, most days the stock markets move more than 0.25%. If we actively manage your account using stock strategies, we assign a certified financial analyst to your account and charge an addition 0.18% per quarter.

- 8. Do we get statements on the assets you manage for us?**
 - a. We provide printed quarterly statements and we provide an online dashboard that shows you how your assets are doing 24 hours a day and gives you online access to your important documents
- 9. What platforms do you investment my money on, and how can I monitor it?**
 - a. For your convenience and safety, we use Raymond James, TD Ameritrade, or Charles Schwab as the custodian for the majority of our accounts. Each custodian holds your funds and provides reporting to you and the IRS. Your accounts can be viewed anytime on the custodian's website, or through our online dashboard at:
www.YellowstonePartners.com/user.