WHAT TO BRING TO YOUR NEW INVESTMENT APPOINTMENT

Thank you for your interest in working with Tax & Financial Solutions to achieve your tax and financial goals. The following is a list of items that would be beneficial for you to submit to us prior to your appointment. The more information we have to understand where you currently are will help us give you better recommendations and make the most time out of our meeting.

TAX INFORMATION

- Last two years of tax returns (new clients only)
- Recent paycheck stub

RETIREMENT INVESTMENT INFORMATION

- 501(k) statements
- 403(b) statements
- 457 statements
- Deferred compensation statement
- IRA statements (Traditional, Roth, SIMPLE, SEP)
- Annuity statements

OTHER INVESTMENTS & CASH

- Brokerage account statements
- Mutual fund statements
- CD statements
- Other savings statements
- Savings Bond Value $_____ (please do **not** bring the bonds)
- Stock certificates

INSURANCE INFORMATION

- Life insurance policies
- Disability insurance policies
- Long-term care policies
- Health insurance policies
- Auto, home and umbrella liability policies

ESTATE

- Will
- Trust
- Power of Attorney
- Health Care Directive

EDUCATION FUNDING INFORMATION

- 529 – college statements
- Coverdell Education Savings statements
- UGMA-UTMA statements

OTHER

- Employee Benefits Handbook & statements
- Employee stock ownership statements
- Employee stock options statements

Investment and Insurance Products: NOT FDIC Insured | **NO** Bank Guarantee | **MAY** Lose Value

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